



PTO/SB/21 (08-03)

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/809,259	
	Filing Date	March 25, 2004	
	First Named Inventor	Karen A. Shepard	
	Art Unit	Unassigned	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	4+	Attorney Docket Number	10236A

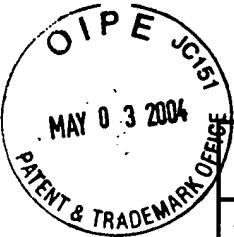
ENCLOSURES (check all that apply)		
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Rick F. James (Reg. No.: 48,772) ExxonMobil Chemical Company Law Technology
Signature	
Date	April 27, 2004

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)			Atty. Docket No. 10236A
In Re Application of: Karen A. SHEPPARD et al.			
U.S. Serial No. 10/809,259	Filing Date 03/25/2004	Examiner Not Yet Assigned	Group Art Unit Not Yet Assigned

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37 CFR § 1.97(b)

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

37 CFR § 1.97(d)

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the references are enclosed, where applicable.

☒ This application is a:

☐ Continuation / Divisional,

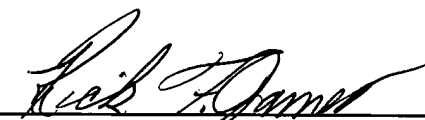
☒ Continuation-in-Part,

of U.S.S.N. 09/714,332. Copies of the cited references are ☒ enclosed, where applicable;
☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1712. A duplicate copy of this form is enclosed.

April 27, 2004

Date



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INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10236A

SERIAL NO.

10/809,259

APPLICANT

Karen A. SHEPPARD et al.

FILING DATE

March 25, 2004

GROUP

Not Yet Assigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,632,869	12/30/1986	Park et al.			
	AB	4,692,379	09/08/1987	Keung et al.			
	AC	4,769,418	09/06/1988	Mizuno et al.			
	AD	4,916,025	04/10/1990	Pang-Chia Lu			
	AE	4,978,436	12/18/1990	Kelly			
	AF	5,169,900	12/08/1992	Gudelis			
	AG	5,223,346	06/29/1993	Pang-Chia Lu			
	AH	5,302,442	04/12/1994	O'Brien et al.			
	AI	5,443,915	08/22/1995	Wilkie et al.			
	AJ	5,466,734	11/14/1995	Catena et al.			
	AK	5,482,780	01/09/1996	Wilkie et al.			
	AL	5,489,473	02/06/1996	Wilkie			
	AM	5,500,283	03/19/1996	Kirk et al.			
	AN	5,527,608	06/18/1996	Kemp-Patchett et al.			
	AO	5,616,400	04/01/1997	Zhang			
	AP	5,667,902	09/16/1997	Brew et al.			
	AQ	5,691,043	11/25/1997	Keller et al.			
	AR	5,792,549	08/11/1998	Wilkie			
	AS	5,798,174	08/25/1998	Wilkie			
	AT	5,851,640	12/22/1998	Schuhmann et al.			
	AU	6,074,762	06/13/2000	Cretekos et al.			
	AV	6,455,150	09/24/2002	Sheppard et al.			
	AW	6,472,077	10/29/2002	Cretekos et al.			
	AX	6,495,266	12/17/2002	Migliorini			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AA	JP 04 212848 A	1992-11-27	Japan (Abstract)				
	AB	JP 02 060745 A	1990-05-16	Japan (Abstract)				
	AC	JP 03 205440 A	1991-12-03	Japan (Abstract)				

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

AA	Corbett, L.W. & R. Urban, Asphalt and Bitumen, Ullmann's Encyclopedia of Industrial Chemical, W. Gerhartz Deerfield Beach Florida, USA, Velt Publ., Vol. A.3, pp. 169-188, (1985).
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EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant